

STIR (blueprint)

LeastAuthority

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# Chapter 1

## Preliminaries

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**Definition 1.1** (Interactive Oracle Proofs of Proximity (IOPP)). *A  $k$ -round public-coin interactive-oracle proof of proximity (IOPP) for a ternary relation  $\mathcal{R} = \{(x, y, w)\}$  is an interactive protocol between a prover  $\mathbf{P}$  and a verifier  $\mathbf{V}$  defined as follows.*

- *The prover receives  $(x, y, w)$ , while the verifier receives  $x$  and oracle access to  $y$ .*
- *For each round  $i \in [k]$  the verifier sends a uniformly random message  $\alpha_i$  to the prover, who responds with a proof string  $\pi_i$ .*
- *After  $k$  rounds, the verifier may query  $y$  and the proof strings  $\pi_1, \dots, \pi_k$  and finally outputs a decision bit.*

*Formally, let  $\text{IOP} = (\mathbf{P}, \mathbf{V})$  where  $\mathbf{P}$  is an interactive algorithm and  $\mathbf{V}$  is an interactive-oracle algorithm. The protocol has **perfect completeness** and **soundness error**  $\beta$  if the following conditions hold.*

**Perfect completeness.** *For every  $(x, y, w) \in \mathcal{R}$ ,*

$$\Pr_{\alpha_1, \dots, \alpha_k} \left[ \mathbf{V}^{y, \pi_1, \dots, \pi_k}(x, \alpha_1, \dots, \alpha_k) = 1 \mid \pi_1 \leftarrow \mathbf{P}(x, y, w), \dots, \pi_k \leftarrow \mathbf{P}(x, y, w, \alpha_1, \dots, \alpha_k) \right] = 1.$$

**Soundness.** *For every  $(x, y) \notin L(\mathcal{R})$  and every (unbounded) malicious prover  $\tilde{\mathbf{P}}$ ,*

$$\Pr_{\alpha_1, \dots, \alpha_k} \left[ \mathbf{V}^{y, \pi_1, \dots, \pi_k}(x, \alpha_1, \dots, \alpha_k) = 1 \mid \pi_1 \leftarrow \tilde{\mathbf{P}}(\alpha_1), \dots, \pi_k \leftarrow \tilde{\mathbf{P}}(x, y, \alpha_1, \dots, \alpha_k) \right] \leq \beta(x, y).$$

*When the soundness error depends only on the input lengths and on the proximity  $\delta$  of  $y$  to the language*

$$L_x := \{ y' \mid \exists w, (x, y', w) \in \mathcal{R} \},$$

*we write  $\beta(|x|, |y|, \delta)$ , or simply  $\beta(\delta)$  when  $|x|$  and  $|y|$  are clear from context.*

**Definition 1.2.** *Let  $k \in \mathbb{N}$  be an integer,  $\mathbb{F}$  be a finite field and  $\mathcal{L} \subset \mathbb{F}$  be a subset of  $\mathbb{F}$ . Then*

$$\mathcal{L}^k := \{x^k \text{ s.t. } x \in \mathcal{L}\}$$

**Definition 1.3** (Reed-Solomon Code). *The Reed-Solomon code over finite field  $\mathbb{F}$ , evaluation domain  $\mathcal{L} \subseteq \mathbb{F}$  and degree  $d \in \mathbb{N}$  is the set of evaluations (over  $\mathcal{L}$ ) of univariate polynomials (over  $\mathbb{F}$ ) of degree less than  $d$ :*

$$\text{RS}[\mathbb{F}, \mathcal{L}, d] := \{ f : \mathcal{L} \rightarrow \mathbb{F} \mid \exists \hat{f} \in \mathbb{F}^{<d}[X] \text{ such that } \forall x \in \mathcal{L}, f(x) = \hat{f}(x) \}.$$

*The rate of  $\text{RS}[\mathbb{F}, \mathcal{L}, d]$  is  $\rho := \frac{d}{|\mathcal{L}|}$ .*

*Given a code  $\mathcal{C} := \text{RS}[\mathbb{F}, \mathcal{L}, d]$  and a function  $f : \mathcal{L} \rightarrow \mathbb{F}$ , we sometimes use  $\hat{f} \in \mathbb{F}^{<d}[X]$  to denote a nearest polynomial to  $f$  on  $\mathcal{L}$  (breaking ties arbitrarily).*

**Remark 1.4.** *Note that the evaluation domain  $\mathcal{L} \subseteq \mathbb{F}$  is a non-empty set.*

**Definition 1.5.** *For a Reed-Solomon code  $\mathcal{C} := \text{RS}[\mathbb{F}, \mathcal{L}, d]$ , parameter  $\delta \in [0, 1]$ , and a function  $f : \mathcal{L} \rightarrow \mathbb{F}$ , let  $\text{List}(f, d, \delta)$  denote the list of codewords in  $\mathcal{C}$  whose relative Hamming distance from  $f$  is at most  $\delta$ . We say that  $\mathcal{C}$  is  $(\delta, l)$ -list decodable if*

$$|\text{List}(f, d, \delta)| \leq l \quad \text{for every function } f.$$

The Johnson bound provides an upper bound on the list size of this Reed-Solomon code:

**Theorem 1.6** (Johnson bound). *The Reed-Solomon code  $\text{RS}[\mathbb{F}, \mathcal{L}, d]$  is  $(1 - \sqrt{\rho} - \eta, \frac{1}{2\eta\rho})$ -list-decodable for every  $\eta \in (0, 1 - \sqrt{\rho})$ , where  $\rho := \frac{d}{|\mathcal{L}|}$  is the rate of the code.*

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## Chapter 2

# Tools for Reed-Solomon codes

### 2.1 Random linear combination as a proximity generator

**Theorem 2.1.** *Let  $\mathcal{C} := \text{RS}[\mathbb{F}, \mathcal{L}, d]$  be a Reed-Solomon code with rate  $\rho := \frac{d}{|\mathcal{L}|}$  and let  $B'(\rho) := \sqrt{\rho}$ . For every  $\delta \in (0, 1 - B'(\rho))$  and functions  $f_1, \dots, f_m : \mathcal{L} \rightarrow \mathbb{F}$ , if*

$$\Pr_{r \leftarrow \mathbb{F}} \left[ \Delta \left( \sum_{j=1}^m r^{j-1} \cdot f_j, \text{RS}[\mathbb{F}, \mathcal{L}, d] \right) \leq \delta \right] > \text{err}'(d, \rho, \delta, m),$$

*then there exists a subset  $S \subseteq \mathcal{L}$  with  $|S| \geq (1 - \delta) \cdot |\mathcal{L}|$ , and for every  $i \in [m]$ , there exists  $u \in \text{RS}[\mathbb{F}, \mathcal{L}, d]$  such that  $f_i(S) = u(S)$ .*

*Above,  $\text{err}'(d, \rho, \delta, m)$  is defined as follows:*

- if  $\delta \in (0, \frac{1-\rho}{2}]$  then

$$\text{err}'(d, \rho, \delta, m) = \frac{(m-1) \cdot d}{\rho \cdot |\mathbb{F}|}$$

- if  $\delta \in (\frac{1-\rho}{2}, 1 - \sqrt{\rho})$  then

$$\text{err}'(d, \rho, \delta, m) = \frac{(m-1) \cdot d^2}{|\mathbb{F}| \cdot \left( 2 \cdot \min(1 - \sqrt{\rho}, \delta, \frac{\sqrt{\rho}}{20}) \right)^7}$$

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### 2.2 Univariate Function Quotienting

In the following, we start by defining the *quotient* of a univariate function.

**Definition 2.2.** *Let  $f : \mathcal{L} \rightarrow \mathbb{F}$  be a function,  $S \subseteq \mathbb{F}$  be a set, and  $\text{Ans}, \text{Fill} : S \rightarrow \mathbb{F}$  be functions. Let  $\hat{\text{Ans}} \in \mathbb{F}^{<|S|}[X]$  be the (unique) polynomial with  $\hat{\text{Ans}}(x) = \text{Ans}(x)$  for every  $x \in S$ , and let*

$\hat{V}_S \in \mathbb{F}^{<|S|+1}[X]$  be the unique non-zero polynomial with  $\hat{V}_S(x) = 0$  for every  $x \in S$ . The quotient function  $\text{Quotient}(f, S, \text{Ans}, \text{Fill}) : \mathcal{L} \rightarrow \mathbb{F}$  is defined as follows:

$$\forall x \in \mathcal{L}, \quad \text{Quotient}(f, S, \text{Ans}, \text{Fill})(x) := \begin{cases} \text{Fill}(x) & \text{if } x \in S \\ \frac{f(x) - \hat{\text{Ans}}(x)}{\hat{V}_S(x)} & \text{otherwise} \end{cases}$$

Next we define the polynomial quotient operator, which quotients a polynomial relative to its output on evaluation points. The polynomial quotient is a polynomial of lower degree.

**Definition 2.3.** Let  $\hat{f} \in \mathbb{F}^{<d}[X]$  be a polynomial and  $S \subseteq \mathbb{F}$  be a set, let  $\hat{V}_S \in \mathbb{F}^{<|S|+1}[X]$  be the unique non-zero polynomial with  $\hat{V}_S(x) = 0$  for every  $x \in S$ . The polynomial quotient  $\text{PolyQuotient}(\hat{f}, S) \in \mathbb{F}^{<d-|S|}[X]$  is defined as follows:

$$\text{PolyQuotient}(\hat{f}, S)(X) := \frac{\hat{f}(X) - \hat{\text{Ans}}(X)}{\hat{V}_S(X)}$$

The following lemma, implicit in prior works, shows that if the function is “quotiented by the wrong value”, then its quotient is far from low-degree.

**Lemma 2.4.** Let  $f : \mathcal{L} \rightarrow \mathbb{F}$  be a function,  $d \in \mathbb{N}$  be the degree parameter,  $\delta \in (0, 1)$  be a distance parameter,  $S \subseteq \mathbb{F}$  be a set with  $|S| < d$ , and  $\text{Ans}, \text{Fill} : S \rightarrow \mathbb{F}$  are functions. Suppose that for every  $u \in \text{List}(f, d, \delta)$  there exists  $x \in S$  with  $\hat{u}(x) \neq \text{Ans}(x)$ . Then

$$\Delta(\text{Quotient}(f, S, \text{Ans}, \text{Fill}), \text{RS}[\mathbb{F}, \mathcal{L}, d - |S|]) + \frac{|T|}{|\mathcal{L}|} > \delta,$$

where  $T := \{x \in \mathcal{L} \cap S : \hat{\text{Ans}}(x) \neq f(x)\}$ .

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## 2.3 Out of domain sampling

**Lemma 2.5.** Let  $f : \mathcal{L} \rightarrow \mathbb{F}$  be a function,  $d \in \mathbb{N}$  be a degree parameter,  $s \in \mathbb{N}$  be a repetition parameter, and  $\delta \in [0, 1]$  be a distance parameter. If  $\text{RS}[\mathbb{F}, \mathcal{L}, d]$  be  $(d, l)$ -list decodable then

$$\begin{aligned} \Pr_{r_1, \dots, r_s \leftarrow \mathbb{F} \setminus \mathcal{L}} [\exists \text{ distinct } u, u' \in \text{List}(f, d, \delta) : \forall i \in [s], \hat{u}(r_i) = \hat{u}'(r_i)] &\leq \binom{l}{2} \cdot \left( \frac{d-1}{|\mathbb{F}| - |\mathcal{L}|} \right)^s \\ &\leq \binom{l^2}{2} \cdot \left( \frac{d}{|\mathbb{F}| - |\mathcal{L}|} \right)^s \end{aligned}$$

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## 2.4 Folding univariate functions

STIR relies on  $k$ -wise folding of functions and polynomials - this is similar to prior works, although presented in a slightly different form. As shown below, folding a function preserves proximity from the Reed-Solomon code with high probability.

The folding operator is based on the following fact, decomposing univariate polynomials into bivariate ones.

**Fact 2.6.** Given a polynomial  $\hat{q} \in \mathbb{F}[X]$ :

- For every univariate polynomial  $\hat{f} \in \mathbb{F}[X]$ , there exists a unique bivariate polynomial  $\hat{Q} \in \mathbb{F}[X, Y]$  with  $\deg_X(\hat{Q}) := \lfloor \deg(\hat{f}) / \deg(\hat{q}) \rfloor$  and  $\deg_Y(\hat{Q}) < \deg(\hat{q})$  such that  $\hat{f}(Z) = \hat{Q}(\hat{q}(Z), Z)$ . Moreover  $\hat{Q}$  can be computed efficiently given  $\hat{f}$  and  $\hat{q}$ . Observe that if  $\deg(\hat{f}) < t \cdot \deg(\hat{q})$  then  $\deg(\hat{Q}) < t$ .
- For every  $\hat{Q}[X, Y]$  with  $\deg_X(\hat{Q}) < t$  and  $\deg_Y(\hat{Q}) < \deg(\hat{q})$ , the polynomial  $\hat{f}(Z) = \hat{Q}(\hat{q}(Z), Z)$  has degree  $\deg(\hat{f}) < t \cdot \deg(\hat{q})$ .

Below, we define folding of a polynomial followed by folding of a function.

**Definition 2.7.** Given a polynomial  $\hat{f} \in \mathbb{F}^{<d}[X]$ , a folding parameter  $k \in \mathbb{N}$  and  $r \in \mathbb{F}$ , we define a polynomial  $\text{PolyFold}(\hat{f}, k, r) \in \mathbb{F}^{d/k}[X]$  as follows. Let  $\hat{Q}[X, Y]$  be the bivariate polynomial derived from  $\hat{f}$  using Fact 2.6 with  $\hat{q}(X) := X^k$ . Then  $\text{PolyFold}(\hat{f}, k, r)(X) := \hat{Q}(X, r)$ .

**Definition 2.8.** Let  $f : \mathcal{L} \rightarrow \mathbb{F}$  be a function,  $k \in \mathbb{N}$  a folding parameter and  $\alpha \in \mathbb{F}$ . For every  $x \in \mathcal{L}^k$ , let  $\hat{p}_x \in \mathbb{F}^{<k}[X]$  be the polynomial where  $\hat{p}_x(y) = f(y)$  for every  $y \in \mathcal{L}$  such that  $y^k = x$ . We define  $\text{Fold}(f, k, \alpha) : \mathcal{L} \rightarrow \mathbb{F}$  as follows.

$$\text{Fold}(f, k, \alpha) := \hat{p}_x(\alpha).$$

In order to compute  $\text{Fold}(f, k, \alpha)(x)$  it suffices to interpolate the  $k$  values  $\{f(y) : y \in \mathcal{L} \text{ s.t. } y^k = x\}$  into the polynomial  $\hat{p}_x$  and evaluate this polynomial at  $\alpha$ .

The following lemma shows that the distance of a function is preserved under folding. If a function  $f$  has distance  $\delta$  to a Reed-Solomon code then, with high probability over the choice of folding randomness, its folding also has a distance of  $\delta$  to the “ $k$ -wise folded” Reed-Solomon code.

**Lemma 2.9.** For every function  $f : \mathcal{L} \rightarrow \mathbb{F}$ , degree parameter  $d \in \mathbb{N}$ , folding parameter  $k \in \mathbb{N}$ , distance parameter  $\delta \in (0, \min\{\Delta(\text{Fold}[f, k, r^{\text{fold}}], \text{RS}[\mathbb{F}, \mathcal{L}^k, d/k]), 1 - B^*(\rho)\})$ , letting  $\rho := \frac{d}{|\mathcal{L}|}$ ,

$$\Pr_{r^{\text{fold}} \leftarrow \mathbb{F}} [\Delta(\text{Fold}[f, k, r^{\text{fold}}], \text{RS}[\mathbb{F}, \mathcal{L}^k, d/k]) < \delta] > \text{err}^*(d/k, \rho, \delta, k).$$

Above,  $B^*$  and  $\text{err}^*$  are the proximity bound and error (respectively) described in Section 2.1.

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## 2.5 Combine functions of varying degrees

We show a new method for combining functions of varying degrees with minimal proximity requirements using geometric sums. We begin by recalling a fact about geometric sums.

**Fact 2.10.** Let  $\mathbb{F}$  be a field,  $r \in \mathbb{F}$  be a field element,  $a \in \mathbb{N}$  be a natural number. Then

$$\sum_{i=0}^a r^i := \begin{cases} \left( \frac{1-r^{a+1}}{1-r} \right) & r \neq 1 \\ a+1 & r = 1 \end{cases}$$

**Definition 2.11.** Given target degree  $d^* \in \mathbb{N}$ , shifting parameter  $r \in \mathbb{F}$ , functions  $f_1, \dots, f_m : \mathcal{L} \rightarrow \mathbb{F}$ , and degrees  $0 \leq d_1, \dots, d_m \leq d^*$ , we define  $\text{Combine}(d^*, r, (f_1, d_1), \dots, (f_m, d_m)) : \mathcal{L} \rightarrow \mathbb{F}$  as follows:

$$\begin{aligned} \text{Combine}(d^*, r, (f_1, d_1), \dots, (f_m, d_m))(x) &:= \sum_{i=1}^m r_i \cdot f_i(x) \cdot \left( \sum_{l=0}^{d^*-d_i} (r \cdot x)^l \right) \\ &= \begin{cases} \sum_{i=1}^m r_i \cdot f_i(x) \cdot \left( \frac{1-(xr)^{d^*-d_i+1}}{1-xr} \right) & x \cdot r \neq 1 \\ \sum_{i=1}^m r_i \cdot f_i(x) \cdot (d^* - d_i + 1) & x \cdot r = 1 \end{cases} \end{aligned}$$

Above,  $r_1 := 1$ ,  $r_i := r^{i-1+\sum_{j<i}(d^*-d_j)}$  for  $i > 1$ .

**Definition 2.12.** Given target degree  $d^* \in \mathbb{N}$ , shifting parameter  $r \in \mathbb{F}$ , function  $f : \mathcal{L} \rightarrow \mathbb{F}$ , and degree  $0 \leq d \leq d^*$ , we define  $\text{DegCor}(d^*, r, f, d)$  as follows.

$$\text{DegCor}(d^*, r, f, d)(x) := f(x) \cdot \left( \sum_{l=0}^m (r \cdot x)^l \right) = \begin{cases} f(x) \cdot \frac{1-(xr)^{d^*-d_i+1}}{1-xr} & x \cdot r \neq 1 \\ f(x) \cdot (d^* - d_i + 1) & x \cdot r = 1 \end{cases}$$

(Observe that  $\text{DegCor}(d^*, r, f, d) = \text{Combine}(d^*, r, (f, d))$ .)

Below it is shown that combining multiple polynomials of varying degrees can be done as long as the proximity error is bounded by  $(\min \{1 - \mathbf{B}^*(\rho), 1 - \rho - 1/|\mathcal{L}|\})$ .

**Lemma 2.13.** Let  $d^*$  be a target degree,  $f_1, \dots, f_m : \mathcal{L} \rightarrow \mathbb{F}$  be functions,  $0 \leq d_1, \dots, d_m \leq d^*$  be degrees,  $\delta \in \min \{1 - \mathbf{B}^*(\rho), 1 - \rho - 1/|\mathcal{L}|\}$  be a distance parameter, where  $\rho = d^*/|\mathcal{L}|$ . If

$$\Pr_{r \leftarrow \mathbb{F}}[\Delta(\text{Combine}(d^*, r, (f_1, d_1), \dots, (f_m, d_m)), \text{RS}[\mathbb{F}, \mathcal{L}, d^*])] > \text{err}^*(d^*, \rho, \delta, m \cdot (d^* + 1) - \sum_{i=1}^m d_i),$$

then there exists  $S \subseteq \mathcal{L}$  with  $|S| \geq (1 - \delta) \cdot |\mathcal{L}|$ , and

$$\forall i \in [m], \exists u \in \text{RS}[\mathbb{F}, \mathcal{L}, d_i], f_i(S) = u(S).$$

Note that this implies  $\Delta(f_i, \text{RS}[\mathbb{F}, \mathcal{L}, d_i]) < \delta$  for every  $i$ . Above,  $\mathbf{B}^*$  and  $\text{err}^*$  are the proximity bound and error (respectively) described in Section 2.1.

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# Chapter 3

## STIR

### 3.1 STIR Main Theorem

**Theorem 3.1** (STIR Main Theorem). *Consider the following ingredients:*

- A security parameter  $\lambda \in \mathbb{N}$ .
- A Reed-Solomon code  $\text{RS}[\mathbb{F}, \mathcal{L}, d]$  with  $\rho := \frac{d}{|\mathcal{L}|}$  where  $d$  is a power of 2, and  $\mathcal{L}$  is a smooth domain.
- A proximity parameter  $\delta \in (0, 1 - 1.05 \cdot \sqrt{\rho})$ .
- A folding parameter  $k \in \mathbb{N}$  that is power of 2 with  $k \geq 4$ .

If  $|\mathbb{F}| = \Omega\left(\frac{\lambda \cdot 2^\lambda \cdot d^2 \cdot |\mathcal{L}|^2}{\log(1/\rho)}\right)$ , there is a public-coin IOPP for  $\text{RS}[\mathbb{F}, \mathcal{L}, d]$  with the following parameters:

- Round-by-round soundness error  $2^{-\lambda}$ .
- Round complexity:  $M := O(\log_k d)$ .
- Proof length:  $|\mathcal{L}| + O_k(\log d)$ .
- Query complexity to the input:  $\frac{\lambda}{-\log(1-\delta)}$ .
- Query complexity to the proof strings:  $O_k(\log d + \lambda \cdot \log\left(\frac{\log d}{\log 1/\rho}\right))$ .

### 3.2 The STIR Construction

Consider the following ingredients:

- a field  $\mathbb{F}$ ,
- an iteration count  $M \in \mathbb{N}$ ,
- an initial degree parameter  $d \in \mathbb{N}$  that is a power of 2,
- a folding parameters  $k_0, \dots, k_M \in \mathbb{N}$  that are powers of 2 with  $d \geq \prod_i k_i$ ,
- evaluation domains  $\mathcal{L}_0, \dots, \mathcal{L}_M \subseteq \mathbb{F}$  where  $\mathcal{L}_i$  is a smooth coset of  $\mathbb{F}^*$  with  $|\mathcal{L}_i| > \frac{d}{\prod_{j < i} k_j}$

- repetition parameters  $t_0, \dots, t_M \in \mathbb{N}$  where  $t_i + 1 \leq \frac{d}{\prod_{j \leq i} k^j}$  for every  $i \in \{0, \dots, M-1\}$ ,
- out of domain repetition parameter  $s \in \mathbb{N}$ .

For every  $i \in \{0, \dots, M\}$ , set  $d_i := \frac{d}{\prod_{j < i} k^j}$ . The protocol proceeds as follows.

- **Initial function:** Let  $f_0 : \mathcal{L} \rightarrow \mathbb{F}$  be an oracle function. In the honest case,  $f_0 = \text{RS}[\mathbb{F}, \mathcal{L}_0, d_0]$  and the prover has access to the polynomial  $\hat{f} \in \mathbb{F}^{< d_0}[X]$  whose restriction to  $\mathcal{L}_0$  is  $f_0$ .
- **Initial folding:** The verifier sends  $r^{\text{Fold}} \leftarrow \mathbb{F}$
- **Interaction phase loop:** For  $i \in \{1, \dots, M\}$ :
  1. **Send folded function:** The prover sends a function  $g_i : \mathcal{L}_i \rightarrow \mathbb{F}$ . In the honest case  $g_i$  is the evaluation of the polynomial  $\hat{g}_i := \text{PolyFold}(\hat{f}_{i-1}, k_{i-1}, r_{i-1}^{\text{fold}})$  over  $\mathcal{L}_i$ .
  2. **Out-of-domain samples:** The verifier sends  $r_{i,1}^{\text{out}}, \dots, r_{i,s}^{\text{out}} \in \mathbb{F} \setminus \mathcal{L}_i$
  3. **Out-of-domain reply:** The prover sends field elements  $\beta_{i,1}, \dots, \beta_{i,s} \in \mathbb{F}$ . In the honest case,  $\beta_{i,j} := \hat{g}_i(r_{i,j}^{\text{out}})$ .
  4. **STIR message:** The verifier sends  $r_i^{\text{fold}}, r_i^{\text{shift}} \in \mathbb{F}$  and  $r_{i,1}^{\text{shift}}, \dots, r_{i,t_{i-1}}^{\text{shift}} \leftarrow \mathcal{L}_{i-1}^{k_i-1}$
  5. **Define next polynomial and send hole fills:** The prover sends the oracle message  $\text{Fill}_i := (r_{i,1}^{\text{shift}}, \dots, r_{i,t_{i-1}}^{\text{shift}}) \cap \mathcal{L}_i \rightarrow \mathbb{F}$ . In the honest case, the prover defines  $\mathcal{G}_i = \{r_{i,1}^{\text{out}}, \dots, r_{i,s}^{\text{out}}, r_{i,1}^{\text{shift}}, \dots, r_{i,t_{i-1}}^{\text{shift}}\}$ ,  $\hat{g}'_i := \text{PolyQuotient}(\hat{g}_i, \mathcal{G}_i)$  and  $\text{Fill}_i(r_{i,j}^{\text{shift}}) := \hat{g}'_i(r_{i,j}^{\text{shift}})$  ( If  $r_{i,j}^{\text{shift}} \in \mathcal{L}_i$  )

Additionally, the honest prover defines the degree-corrected polynomial  $\hat{f}_i \in \mathbb{F}^{< d_i}[X]$  as follows:

$$\hat{f}_i := \text{DegCor}(d_i, r_i^{\text{comb}}, \hat{g}'_i, d_i - |\mathcal{G}_i|)$$

The protocol proceeds to the next iteration with  $\hat{f}_i$ .

- **Final round:** The prover sends  $d_M$  coefficients of a polynomial  $\hat{p} \in \mathbb{F}^{< d_M}[X]$ . In the honest case,  $\hat{p} := \text{Fold}(\hat{f}_M, k_M, r^{\text{fold}_M})$ .
- **Verifier decision phase:**
  1. **Main loop:** For  $i = 1, \dots, M$  :
    - (a) For every  $j \in [t_{i-1}]$ , query  $\text{Fold}(f_{i-1}, k_{i-1}, r_{i-1}^{\text{fold}})$  at  $r_{i,j}^{\text{shift}}$ . This involves querying  $f_{i-1}$  at all  $k_{i-1}$  points  $x \in \mathcal{L}_{i-1}$  with  $x^{k_i-1} = r_{i,j}^{\text{shift}}$ .
    - (b) Define  $\mathcal{G}_i = \{r_{i,1}^{\text{out}}, \dots, r_{i,s}^{\text{out}}, r_{i,1}^{\text{shift}}, \dots, r_{i,t_{i-1}}^{\text{shift}}\}$  and let  $\text{Ans}_i : \mathcal{G}_i \rightarrow \mathbb{F}$  be the function where  $\text{Ans}_i(r_{i,j}^{\text{out}}) = \beta_{i,j}$  and  $\text{Ans}_i(r_{i,j}^{\text{shift}}) = \text{Fold}(f_{i-1}, k_{i-1}, r_{i-1}^{\text{fold}})(r_{i,j}^{\text{shift}})$ . Finally, (virtually) set  $g'_i := \text{Quotient}(g_i, \mathcal{G}_i, \text{Ans}_i, \text{Fill}_i)$ .
    - (c) Define the virtual oracle  $f_i : \mathcal{L}_i \rightarrow \mathbb{F}$  as follows:

$$f_i := \text{DegCor}(d_i, r_i^{\text{comb}}, g'_i, d_i - |\mathcal{G}_i|).$$

Observe that a query  $x$  to  $f_i$  translates to a single query either to  $g_i$  ( if  $x \notin \mathcal{G}_i$  ) or to  $\text{Fill}_i$  ( If  $(x \in \mathcal{G}_i)$  ).

2. **Consistency with final polynomial:**

- (a) Sample random points  $r_1^{\text{fin}}, \dots, r_{t_M}^{\text{fin}} \rightarrow \mathcal{L}_M^{k_M}$ .
  - (b) Check that  $\hat{p}(r_j^{\text{fin}}) = \text{Fold}(f_M, k_M, r_M^{\text{fold}})(r_j^{\text{fin}})$  for every  $j \in [t_M]$ .
3. **Consistency with Ans:** For every  $i \in \{1, \dots, M\}$  and every  $x_i \in \mathcal{G}_i \cap \mathcal{L}_i$  query  $g_i(x)$  and check that  $g_i(x) = \text{Ans}_i(x)$ .

### 3.3 Round-by-round soundness

**Lemma 3.2.** *Consider  $(\mathbb{F}, M, d, k_0, \dots, k_M, \mathcal{L}_0, \dots, \mathcal{L}_M, t_0, \dots, t_M)$  and  $d_0, \dots, d_M$  as in Construction 3.2, and for every  $0 \leq i \leq M$  let  $\rho_i := d_i/|\mathcal{L}_i|$ . For every  $f \notin \text{RS}[\mathbb{F}, \mathcal{L}_0, d_0]$  and every  $\delta_0, \dots, \delta_M$  where*

- $\delta_0 \in (0, \Delta(f, \text{RS}[\mathbb{F}, \mathcal{L}_0, d_0])) \cap (0, 1 - \text{B}^*(\rho_0))$
- for every  $0 < i \leq M$ :  $\delta_i \in (0, \min\{1 - \rho_i - \frac{1}{|\mathcal{L}_i|}, 1 - \text{B}^*(\rho_i)\})$ , and
- for every  $0 < i \leq M$ :  $\text{RS}[\mathbb{F}, \mathcal{L}_i, d_i]$  is  $(\delta_i, l_i)$ -list decodable,

*STIR (Construction 3.2) has round-by-round soundness error  $(\epsilon^{\text{fold}}, \epsilon_1^{\text{out}}, \epsilon_1^{\text{shift}}, \dots, \epsilon_M^{\text{out}}, \epsilon_M^{\text{shift}}, \epsilon^{\text{fin}})$  where:*

- $\epsilon^{\text{fold}} \leq \text{err}^*(d_0/k_0, \rho_0, \delta_0, k_0)$ .
- $\epsilon_i^{\text{out}} \leq \frac{l_i^2}{2} \cdot \left(\frac{d_i}{|\mathbb{F}| - |\mathcal{L}_i|}\right)^s$
- $\epsilon_i^{\text{shift}} \leq (1 - \delta_{i-1})^{t_{i-1}} + \text{err}^*(d_i, \rho_i, \delta_i, t_{i-1} + s) + \text{err}^*(d_i/k_i, \rho_i, \delta_i, k_i)$ .
- $\epsilon^{\text{fin}} \leq (1 - \delta_M)^{t_M}$ .

*Above,  $\text{B}^*$  and  $\text{err}^*$  are the proximity bound and error (respectively) described in Section 2.1.*